

interventions for problems such as depression anxiety, eating disorders, and schizophrenia has received much recent attention and is now clearly a health service priority. However, even with a sizable increase in current resources it will be hard to meet the potential demand. The expansion of training in the technique to professionals whose work is not exclusively focused on mental health problems seems a logical development. The health visitors who took part in the study enjoyed the training and delivery of the intervention and they found the techniques they had learned transferable to their other work. The use of a manual ensured standardised treatment, and alongside training, supervision, and case review, provided a robust model of service delivery. Development of alternative strategies for delivering CBT — such as supported self-help¹⁸ or computerised¹⁹ approaches — might merit further research and could further expand our therapeutic options.

Brian McKinstry

Senior Research Fellow, Community Health Sciences, General Practice Section, University of Edinburgh

Philip Wilson

Senior Research Fellow, Section of General Practice and Primary Care, University of Glasgow

Colin Espie

Professor of Clinical Psychology, Director of the University of Glasgow Sleep Research Laboratory, Southern General Hospital, Glasgow

REFERENCES

- Shochat T, Umphress J, Israel AG, Ancoli-Israel S. Insomnia in primary care patients. *Sleep* 1999; **22**(Suppl 2): S359–S365.
- Lichstein KL, Durrence HH, Riedel BW, et al. *The epidemiology of sleep: age, gender and ethnicity*. Mahwah, NJ: Lawrence Erlbaum Associates, 2004.
- Singleton N, Bumpstead R, O'Brien M, et al. *Psychiatric morbidity among adults living in private households, 2000*. London: The Stationery Office, 2001.
- Roth T, Ancoli-Israel S. Daytime consequences and correlates of insomnia in the United States: results of the 1991 National Sleep Foundation Survey. II. *Sleep* 1999; **22**(Suppl 2): S354–S358.
- Riemann D, Voderholzer U. Primary insomnia: a risk factor to develop depression? *J Affect Disord* 2003; **76**(1–3): 255–259.
- Sagberg F. Driver health and crash involvement: a case-control study. *Accid Anal Prev* 2006; **38**(1): 28–34.
- Sateia MJ, Nowell PD. Insomnia. *Lancet* 2004; **364**(9449): 1959–1973.
- Kripke D. Chronic hypnotic use: deadly risks, doubtful benefit. *Sleep Med Rev* 2000; **4**(1): 5–20.
- National Institute for Clinical Excellence. *Guidance on the use of zaleplon, zolpidem and zopiclone for the short-term management of insomnia*. Technology Appraisal Guidance 77. London: NICE, 2007.
- Glass J, Lancôt KL, Herrmann N, et al. Sedative hypnotics in older people with insomnia: meta-analysis of risks and benefits. *BMJ* 2005; **331**(7526): 1169.
- Grymonpre RE, Mitenko PA, Sitar DS, et al. Drug-associated hospital admissions in older medical patients. *J Am Geriatr Soc* 1988; **36**(12): 1092–1098.
- Morin CM, Hauri P, Espie CA, et al. Nonpharmacologic treatment of chronic insomnia: an American Academy of Sleep Medicine review. *Sleep* 1999; **22**(8): 1134–1156.
- Kohn L, Espie CA. Sensitivity and specificity of measures of the insomnia experience: a comparative study of psychophysiological insomnia, insomnia associated with mental disorder and good sleepers. *Sleep* 2005; **28**(1): 104–112.
- Smith MT, Perlis ML, Park A, et al. Comparative meta-analysis of pharmacotherapy and behavior therapy for persistent insomnia. *Am J Psychiatry* 2002; **159**(1): 5–11.
- ISD Scotland Publications. *Workforce planning for psychology services in Scotland. Characteristics of the workforce supply in 2006*. <http://www.isdscotland.org/isd/4597.html> (accessed 3 Dec 2007).
- Espie CA, MacMahon KMA, Kelly H, et al. Randomised clinical effectiveness trial of nurse-administered small group cognitive behaviour therapy for persistent insomnia in general practice. *Sleep* 2007; **30**(5): 572–582.
- Morgan K, Dixon S, Mathers M, et al. Psychological treatment for insomnia in the management of long-term hypnotic drug use: a pragmatic randomised controlled trial. *Br J Gen Pract* 2003; **53**(497): 923–928.
- Gellatly J, Bower P, Hennessy S, et al. What makes self-help interventions effective in the management of depressive symptoms? Meta-analysis and meta-regression. *Psychol Med* 2007; **37**(9): 1217–1228.
- Christensen H, Griffith KM, Jorm AE. Delivering interventions for depression by using the internet: randomised controlled trial. *BMJ* 2004; **328**(7434): 265–270. DOI: 10.3399/bjgp08X264018

ADDRESS FOR CORRESPONDENCE

Philip MJ Wilson

General Practice & Primary Care
University of Glasgow, 1 Horselethill Rd
Glasgow, G12 9LX. Email:
p.wilson@clinmed.gla.ac.uk

Regulation: appraisal alone is not enough

Until now, the ultimate goal of medical training has been to achieve the right to independent medical practice. The prime benefit of this has been the professional ability of a doctor to think, speak, and act objectively, purely in the interest of clinical benefit, and free from corporate constraint. The noxious side effect has been a litany of medical scandals, well documented elsewhere, where doctors, both misguided and malicious, have become the agents of harm. The challenge for a new regulatory process is to eliminate the latter while preserving the former.

The introduction of appraisal for all NHS doctors was an excellent first step in addressing this challenge for several reasons. Firstly, it is a universal process following a standard format. Secondly, it has broadened the remit of professional

development to include all aspects of good medical practice, not just maintenance of knowledge. And thirdly, it has begun with the premise that most doctors are diligent and honest, and will voluntarily bring the important issues to their appraisal.

This is not to say that appraisal has solved the problem. Although universal, there is inconsistent implementation. The dawning of realisation that professional development goes beyond knowledge has been slow, so that there remain too few learning resources around subjects such as teamwork and probity. And, partly because we choose what we present in appraisal by way of evidence, and partly because at its heart appraisal is a developmental activity, there remains the question of how we assure that individual doctors meet acceptable

standards of practice.

These contradictions are part of the reason why researchers such as Colthart *et al* end up with the results they have.¹ In a postal survey to which 671 GPs responded, 47% thought that appraisal had altered their educational activity. Asked about the value of appraisal, around 40% reported their perception that appraisal is valuable, around 40% that the value is marginal, and the remainder that it has no value. Appraisal has the potential to succeed, but also to fail. The optimists see the former, the sceptics the latter.

This divergence of opinion is healthy. The sceptics should consider the optimists' view that the process is worth further development and the optimists should recognise the gaps that appraisal fails to address. Both groups need to accept that, valuable as the

supportive and confidential aspects of appraisal are, there need to be elements of scrutiny and public demonstration of competence for a regulatory process to be effective and credible. Whether these elements occur within the appraisal or in parallel clinical governance processes outside the appraisal, they must be present.

The government white paper on regulation² has provided an important point at which to examine the appraisal process so as to consider how it needs to develop, and what other processes need to be placed alongside it, for regulation to work. Some of these things are clear, some less so. Appraisal needs to be standardised, and its implementation audited, to show the level of consistency being achieved. Key items of evidence submitted for appraisal must be agreed, spelled out, and produced by the doctor for the appraisal to proceed. Linked to this, organisations must align their clinical governance processes towards producing information that is relevant not just to surgeries, departments and teams, but to individuals, so the doctor can produce evidence for appraisal relating to their individual performance. Clearly, it will be a challenge for organisations to support the process and to make it manageable for doctors to complete in a way that adds to, not distracts from, their clinical role. All of this needs to happen not just in the context of one specialty or location, but for all doctors, whatever the nature of their employment, in all four countries of the UK.

The most fundamental change, however, is not one of these, sizeable and challenging though they are. The critical shift required relates to our attitude towards our traditional professional aspiration of independent medical practice. Our collective fear of relinquishing independence is perhaps the strongest reason why better regulation in the form of revalidation has not already occurred. We believe that measurement of performance such as it exists at present is inadequate. We fear that by being measured we will be judged and either found wanting or coerced into activities we do not want to undertake. Altruistically, and legitimately, we fear that this will ultimately harm patients because they will have lost their independent voice. Selfishly, and unacceptably, we fear loss of status and power. Independent practice has served the profession and the population well despite the disasters that have occurred — the dilemma lies in not knowing whether relinquishing

independence will ultimately help or harm.

The answer is that it depends on what we replace independent practice with. If we revert to a subordinate, dependent state, everyone will lose. We would be better not to change. However, if we can envisage something higher than independence to move towards, this may justify the risk of making a change.

Our new aspiration should be for interdependent, not independent, medical practice.³ An independent doctor stands alone and proud; an interdependent doctor is entwined with their teams, organisation, and patients. An independent doctor acts as an unchallengeable font of knowledge; an interdependent doctor is a bridge between a patient and all aspects of their care, continually informing, counseling, negotiating, and advocating, in as many different directions as it takes to serve the patient's best interests. An independent doctor lays claim to the moral high ground; an interdependent doctor shares it.

Shifting to a culture of interdependence will have a subtle but profound effect on how we embrace regulation. The interdependent doctor continually seeks out perspectives other than their own, to be sure, rather than make assumptions about, the motives of others. This sharing of perspectives allows the building of trust between the organisation and the individual, which is what will allow the gradual unpicking of the blame culture, so well recognised in the NHS. Because interdependence requires multilateral integrity and trust, instead of fearing retribution for failing measures, we will develop confidence in the fair and tolerant ability of our organisation to identify where variations within measures are acceptable. Therefore, instead of viewing scrutiny as oppressive and intrusive, we will see it simply as a step towards better understanding of how we work. As we become more open and confident, the organisation will perceive less defensiveness, and also begin to develop a better understanding of the pressures on clinicians that prevent improvement on measures. We will begin to address performance issues with the organisation outside appraisal, and receive support in return for engaging with scrutiny. This, in turn, will reduce the pressure on appraisers to 'assess performance' in the appraisal interview, allowing appraisal to fulfill its precious function as a confidential forum for facilitated self-reflection,⁴ while at the same

time providing an annual punctuation mark in the overall regulatory process.

As it is in medicine, so it is in life. The issue is societal; the choice personal. The highest levels of organisation, the GMC, the NHS, colleges, and society itself will continue to try to move the profession towards where they collectively think we should be, so as to be safer and better. Individually, unless we are motivated to change, then rather like a patient coerced to take a statin without being convinced of the benefit, the process will be one of continued ambivalence, sporadic conflict, and stuttering points of activity, ultimately unsatisfying, wasteful, and possibly harmful. The risk: if we do change, and get it wrong, then everyone will lose, society and the profession both. The prize: if we get it right, the rewards could be remarkable. The era of 'independent medical practice' may come to be seen as an unfortunate one of 'unsupported medical practice', and people may wonder why we did not move to interdependent (and supported) medical practice sooner.

And so here we are. Appraisal alone will not be enough for regulation. We will be measured. We can resist, in the hope of preserving independence, or succumb, reverting from independence to dependence. Or we can choose a new aspiration. The choice is personal. Interdependence: the decision is ours.

Maurice Conlon

Appraisal and Revalidation Lead, Associate Director, NHS Clinical Governance Support Team, Leicester

REFERENCES

1. Colthart I, Cameron N, McKinstry B, Blaney D. What do doctors really think about the relevance and impact of GP appraisal 3 years on? A survey of Scottish GPs. *Br J Gen Pract* 2008; **58**: 82–87.
2. Department of Health. *Trust assurance and safety: the regulation of health professionals*. London: Department of Health, 2007.
3. Covey SR. *The seven habits of highly effective people*. New York: Free Press, 1989.
4. Conlon M. Appraisal: the catalyst of personal development. *BMJ* 2003; **327**: 389–391.
DOI: 10.3399/bjgp08X264027

ADDRESS FOR CORRESPONDENCE

Maurice Conlon

*NHS Clinical Governance Support Team,
1st Floor, St John's House,
30 East Street, Leicester, LE1 6NB.
E-mail: maurice.conlon@NCGST.NHS.uk*