Early identification of palliative care patients in general practice: development of RADboud indicators for PAlliative Care Needs (RADPAC)

INTRODUCTION

In the UK and in the Netherlands, the large majority of deaths are due to circulatory disease, respiratory disease, or cancer.\(^1,2\)

In a substantial number of these cases, death results after a protracted end stage that may often last over a year: the palliative stage. Most patients prefer to spend the final phase of their lives primarily at home and also prefer to die there,\(^1,3\) making the GP the most appropriate healthcare professional to initiate, provide, and coordinate palliative care.\(^2,4\) Yet, only a minority of these palliative patients die at home.\(^5,6\) According to the World Health Organization (WHO) definition, palliative care should be initiated in an early phase and not be restricted to terminal care.\(^10\)

However, to date, palliative care is often restricted to physical symptom relief in the terminal phase, including emergency visits by the GP,\(^1\) transfers,\(^12\) and unplanned hospital admissions.\(^12,13\) Consequently, too many patients die in another place than preferred.\(^15,16\)

By recognising the needs of palliative cancer and non-cancer patients earlier, proactive care planning (including assessment and treatment of the physical, psychological, spiritual, and social consequences of the patient’s situation and condition) may improve the quality of their remaining life. Nevertheless, early identification of patients who can benefit from palliative care is challenging. In patients with chronic obstructive pulmonary disease (COPD) or congestive heart failure (CHF), but also in patients with advanced cancer, disease trajectories can last many years. Therefore, it is difficult to mark on the gradual slope of the different disease trajectories the moment when palliative care could be beneficial alongside or instead of disease-oriented therapies (Figure 1).\(^17,20\)

In published studies, unidentified palliative care patients with [non-cancer] chronic diseases received fewer drugs for palliation than patients with cancer, while the symptom burden was at least comparable.\(^21,22\)

Furthermore, end-of-life issues and preferred place of death are more frequently discussed with cancer patients than with patients with life-threatening non-cancer diseases.\(^23,24\)

Particularly with regard to non-cancer chronic diseases, clinicians do not know when to initiate or how to communicate a palliative care approach.\(^25,26\)

For GPs in the UK, there are financial incentives for participating in the system for performance management and payment, including the timely inclusion of patients in the palliative care register.\(^28\)

Palliative care providers, including GPs, report that the most important gap is the lack of prognostic indicators and clinical triggers for initiating palliative care.\(^29\) As physicians tend to overestimate the survival from palliative care, the number of patients who could benefit from palliative care is estimated to be at least 35% (Karnofsky score ≤ 50%).

Subsequently, there is a need for a tool to aid GPs in identifying patients who can benefit from palliative care. The aim of this study was to systematically develop a tool for GPs with which they can identify patients with congestive heart failure (CHF), chronic obstructive pulmonary disease (COPD), or cancer respectively, who could benefit from proactive palliative care.

Research

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How this fits in

Early palliative care seems beneficial in lung cancer patients. Patients who are not identified as palliative care patients and who could benefit from palliative care have unmet needs. No research-based prognostic indicators and clinical triggers for the early commencement of palliative care, which can be used in general practice, are available. This study presents the RADboud indicators for Palliative Care needs (RADPAC); three systematically developed comprehensive sets of indicators to support the GP in the early identification of patients with CHF, COPD, and cancer, who could benefit from palliative care.

of their patients, the use of the single surprise question: ‘Would I be surprised if the person in front of me died in the next 6 months or 1 year?’ as a prompt to initiate discussions about end-of-life needs and preferences is regarded as inappropriate. Small et al suggest making it more explicit for patients with CHF and COPD. In 2008, the UK’s Department of Health published an end-of-life strategy, in which identifying people approaching the end of life is one of the key subjects. This strategy is partly based on the Gold Standards Framework (GSF). GPs in the UK are familiar with this GSF, which includes a prognostic indicator guide. Yet the indicators used in the GSF are not evidence based. To date, the study has been unable to identify any validated tools predicting the optimal timing for initiating palliative care, although a great deal of research has focused on predicting mortality, survival, and prognostication. Therefore, the aim of this study was to systematically develop a tool to identify patients with CHF, COPD, and cancer respectively, based on the combination of evidence and practice-based knowledge. This tool could be used in regular patient contacts to help identify patients in need of palliative care and thus serve as a starting point for (proactive) palliative care.

METHOD

Design

A three-step procedure was used to develop sets of indicators for the early identification of patients with CHF, COPD, and cancer who could benefit from palliative care.

First, a structured PubMed literature review was performed (Box 1; Figure 2, step 1). The cross references and the Oxford Textbook of Palliative Medicine, and relevant national and international websites were checked. Inclusion criteria used were English language, human research, and patients aged >18 years. The titles and abstracts of the articles found in relation to potential indicators for identifying palliative patients were examined. A potential indicator was defined as ‘a characteristic, factor, or aspect suggested as a possible indicator predicting or influencing prognosis, survival, or transition from a curative to a palliative trajectory in CHF, COPD, and cancer’. If an abstract mentioned potential indicators, the full text was read. Information was collected on the study design, population, research question, outcome, and extracted potential indicators.

Secondly, as it was expected that the indicators found in the literature search would mainly concern prognostication or survival and not early identification of palliative patients, three focus group interviews were organised. These focus groups respectively discussed CHF, COPD, and cancer (Figure 2, step 2) with GPs and experts in the respective fields, all with a focus on palliative care. The focus group interview was led by an experienced moderator, to discuss the applicability of
each indicator for early identification and to suggest additional indicators based on clinical experience. The panel prepared themselves by performing a web-based survey enabling them to consider their own strategy for identifying patients who might benefit from palliative care. During the focus group interview, an inventory was made of their indicators and these were compared to those found in literature. When concordance existed between an indicator found in the literature and that suggested by the group, this indicator was accepted. If this concordance did not exist, a discussion followed to reject or accept it as a possible indicator. A possible indicator was rejected or accepted if a majority of the experts did or did not agree, respectively, on its usefulness. All experts had at least 5 years’ experience in the respective fields of CHF, COPD, or oncology.

Thirdly, a modified Rand Delphi process was performed to select those indicators that are appropriate and useful in general practice.65 GPs with palliative care expertise were invited to participate in this written procedure, and each was asked to propose another GP with no special interest and expertise. They were asked to rate each concept indicator on a nine-point Likert scale with regard to timing (appropriate to determine the moment at which patients might benefit from starting proactive palliative care) and usefulness in general practice. Scales ran from 1 = extremely inappropriate/extremely useless to 9 = extremely appropriate/extremely useful. Additionally, they had the opportunity to refine the description of each concept indicator. After the first round, median ratings, as well as personal ratings of each concept indicator, were calculated and sent back with the invitation to rate and respond to the indicators again. The rounds were repeated until consensus was reached. The study ran from December 2007 to August 2008.

Analyses

All focus group sessions were audiotaped, transcribed, and analysed. Analysis of the Delphi process was based on the Rand appropriateness method.46 Median ratings of each concept indicator with respect to usefulness for appropriate timing and in general practice were calculated. Criteria for keeping a concept indicator in the final set were: (1) median rating ≥7 for appropriateness as well as usefulness, and (2) difference between maximum and minimum rating ≤4 in the second Delphi round.43,47,48 The summary statistics were fed back to the participants at each round, along with their initial ranking. The analyses were performed using SPSS (version 16.0).

RESULTS

Figure 2 represents the results of the different components in the development of the RADboud indicators for PAlliative Care needs (RADPAC).

Focus group interviews

In total, 25 experts participated in the focus group interviews in which the potential indicators were discussed: five GPs, five

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**Figure 2. Results of the different components in the development of the RADboud indicators for PAlliative Care needs.**
Box 2. The RADboud indicators for PAIIiative care needs (RADPAC)

**Congestive heart failure**
1. The patient has severe limitations, experiences symptoms even while at rest; mostly bedbound patients [NYHA class IV]
2. There are frequent hospital admissions (>3 per year)
3. The patient has frequent exacerbations of severe heart failure (>3 per year)
4. The patient is moderately disabled; dependent; requires considerable assistance and frequent care [Karnofsky score ≤50%]
5. The patient’s weight increases and fails to respond to increased dose of diuretics
6. A general deterioration of the clinical situation (oedema, orthopnoea, nocturia, dyspnoea)
7. The patient mentions ‘end of life approaching’

**Chronic obstructive pulmonary disease**
1. The patient is moderately disabled; dependent; requires considerable assistance and frequent care [Karnofsky score ≤50%]
2. The patient has substantial weight loss (>10% loss of body weight in 6 months)
3. The presence of congestive heart failure
4. The patient has orthopnoea
5. The patient mentions ‘end of life approaching’
6. There are objective signs of serious dyspnoea (shortness of breath, dyspnoea with speaking, use of respiratory assistant muscles and orthopnoea)

**Cancer**
1. Patient has a primary tumour with a poor prognosis
2. Patient is moderately disabled; dependent; requires considerable assistance and frequent care [Karnofsky score ≤50%]
3. There is a progressive decline in physical functioning
4. The patient is progressively bedridden
5. The patient has a diminished food intake
6. The presence of progressive weight loss
7. The presence of the anorexia–cachexia syndrome (lack of appetite, general weakness, emaciating, muscular atrophy)
8. The patient has a diminished ‘drive to live’

*Karnofsky score*..

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**Ethical approval**
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**Provenance**
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**Competing interests**
The authors have declared no competing interests.

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**Summary**
This study developed the RADPAC: three comprehensive sets of indicators to help GPs identify patients with CHF, COPD, or cancer in need of palliative care. A three-step procedure was used, including a literature review, focus group interviews with input from the multidisciplinary field of palliative healthcare professionals, and a modified Rand Delphi process with GPs.

**Strengths and limitations**
Review of literature in this new field was carried out thoroughly, but as ‘indicators’ is not a MESH term, proxies of this term had to be used. For the focus groups, a purposive sampling strategy was used and thus a variety of expertise and experience in palliative care and general practice was captured. The knowledge and experience of some GPs who took part in the Delphi process was not measured, although the research did include GPs with special training in palliative care, as well as GPs with no special interest.

Whether the RADPAC will support GPs in the early identification of patients who might benefit from palliative care is unknown. RADPAC is under study in a randomised controlled trial (RCT) including 158 GPs in the Netherlands. Data on this study will be published separately. The RADPAC was developed for use in general practice. The different professionals who participated in the expert panel sessions reflect the multidisciplinary approach of palliative care.

**Comparison with existing literature**
Several lists are available that encourage physicians to identify patients who could benefit from palliative care. Howick has defined 10 signals of a poor prognosis in cancer, with specific emphasis on weight loss and anorexia-cachexia. However, this study is the first to present indicators that take a more comprehensive and multidisciplinary approach.
of the palliative care trajectory developed from a combined practice experience and scientific evidence base. Despite different development strategies, RADPAC has much in common with the prognostic indicator guide of the Gold Standards Framework (GSF-PIG). In the UK, the GSF has been adopted by many GPs and seems to have value in daily practice to improve end-of-life care. The GSF-PIG was developed by consulting different professional representatives, while RADPAC used a three-step procedure. Yet both approaches have resulted in very similar indicators, which strengthens their validity. As RADPAC and GSF-PIG were developed in different healthcare settings, it may also indicate that both instruments address generic palliative care guidance for general practice.

The three sets of indicators in the RADPAC might improve different aspects of palliative care. A recent study showed that GPs who are aware of the patient’s preferred place of death tend to have a palliative care goal and use palliative care services more often. The need for timely exploration of care preferences and a focus on palliative care in order to improve its quality was important. Early introduction of palliative care for patients with lung cancer appeared to improve quality of life and survival time. Early identification creates more opportunities for better symptom management and communication about the full content of palliative care and end-of-life care, such as preferred place of death and advanced care planning. GPs who used advanced care planning reported a higher percentage of death at home, and positively enhanced patients’ hope. RADPAC is not intended to be a strict calculator. It has been developed to consider starting palliative care in patients at an earlier stage in highly prevalent chronic and life-threatening diseases. This study have provided GPs with concrete sets of indicators to consider whether the patient has ‘palliative care needs’, besides diagnosing and treating their current health problems. As specific indicators developed for the identification of palliative care patients in a hospital setting will not be applicable in primary care, the emphasis in the selection of indicators lies in the usefulness and applicability in primary care. Indicators like hypercapnia for patients with COPD, hyponatremia for CHF, and percentage of lymphocytes for patients with cancer are not useful for early identification in general practice and have not therefore been selected. Despite its explicit invitation to consider ‘early identification of palliative patients’, the RADPAC still identifies rather late in the illness trajectory. This might be explained by the fact that when this research started, early identification in the Netherlands was not common practice. Although ‘early identification’ has been explained by the text and Figure 1, participants may still struggle with concepts like ‘end-of-life’ care, ‘palliative care’, and ‘terminal’ care. As healthcare systems, insights, and procedures change over time, the RADPAC should be updated.

The RADPAC contains solely somatic indicators. Although GPs known for their holistic approach, and also a psychologist and spiritual caregiver, were represented in the focus group panel, they may have been influenced by the medical specialists and by the given input of literature. The decision to identify a patient as in need of palliative care could be influenced by other factors than medical ones, such as culture, attitude, and moral ideas of a society, financial recourses, and restrictions. This multifactorial character of the decision, combined with the subjective professional view, may mean that the RADPAC and the GSF-PIG are not sufficient to standardise this decision.

Implications for practice and research
This study, developing the RADPAC, is the first scientific study to translate an important part of the WHO definition for palliative care, namely early identification, to clinical practice in a scientifically sound way. The RADPAC can help GPs identify palliative care patients within their larger population of patients with CHF, COPD, or cancer. Applying the RADPAC is an opportunity to enable proactive care and thus improve the quality of primary palliative care. The validity and effect of the RADPAC will be further investigated in a RCT to investigate whether early identification and proactive palliative care planning coordinated by the GP will help improve the quality of palliative care. These results will be published separately. As the RADPAC only contains somatic indicators, special attention will be devoted to other domains, such as psychosocial, financial, and spiritual domains, in an update.


