

Accepted Manuscript

British Journal of General Practice

Predicting unplanned hospitalisations in older adults using routinely recorded general practice data

Klunder, Jet; Heymans, Martijn; van der Heide, Iris; Verheij, Robert; Maarsingh, Otto; van Hout, Hein; Joling, Karlijn

DOI: <https://doi.org/10.3399/BJGP.2023.0350>

To access the most recent version of this article, please click the DOI URL in the line above.

Received 13 July 2023

Revised 22 February 2024

Accepted 26 February 2024

© 2024 The Author(s). This is an Open Access article distributed under the terms of the Creative Commons Attribution 4.0 License (<http://creativecommons.org/licenses/by/4.0/>). Published by British Journal of General Practice. For editorial process and policies, see: <https://bjgp.org/authors/bjgp-editorial-process-and-policies>

When citing this article please include the DOI provided above.

Author Accepted Manuscript

This is an 'author accepted manuscript': a manuscript that has been accepted for publication in British Journal of General Practice, but which has not yet undergone subediting, typesetting, or correction. Errors discovered and corrected during this process may materially alter the content of this manuscript, and the latest published version (the Version of Record) should be used in preference to any preceding versions

1 Predicting unplanned hospitalisations in older adults using
2 routinely recorded general practice data

3 Development and validation of a prediction model

4
5 Jet H Klunder, Martijn W Heymans, Iris van der Heide, Robert A Verheij, Otto R Maarsingh, Hein PJ
6 van Hout, Karlijn J Joling

7
8 Jet H Klunder, MD, GP registrar, PhD candidate (corresponding author)

9 Department of General Practice, Amsterdam UMC, Vrije Universiteit Amsterdam, Amsterdam; Aging
10 and Later Life, Amsterdam Public Health research institute

11 Email: j.h.klunder@amsterdamumc.nl

12 ORCID: 0000-0002-0463-6909

13

14 Martijn W Heymans, PhD, assistant professor

15 Department of Epidemiology and Data Science, Amsterdam UMC, Vrije Universiteit,
16 Amsterdam; Methodology, Amsterdam Public Health research institute

17 ORCID: 0000-0002-3889-0921

18

19 Iris van der Heide, PhD, senior researcher

20 Netherlands Institute for Health Services Research (NIVEL), Utrecht, the Netherlands; Department of
21 Languages, Literature and Communication, Faculty of Humanities, Utrecht University, Utrecht

22 ORCID: 0000-0002-9709-7261

23

24 Robert A Verheij, PhD, professor

25 Netherlands Institute for Health Services Research (NIVEL), Utrecht; Tranzo, Tilburg School of Social
26 and Behavioral Sciences, Tilburg University, Tilburg

27 ORCID: 0000-0003-2234-4819

28

29 Otto R Maarsingh, MD, PhD, GP, associate professor

30 Department of General Practice, Amsterdam UMC, Vrije Universiteit Amsterdam, Amsterdam; Aging
31 and Later Life, Amsterdam Public Health research institute

32 ORCID: 0000-0002-3747-9217

33

34 Hein PJ van Hout, PhD, professor

35 Department of General Practice, Amsterdam UMC, Vrije Universiteit Amsterdam, Amsterdam; Aging
36 and Later Life, Amsterdam Public Health research institute

37 ORCID: 0000-0002-2495-4808

38

39 Karlijn J Joling, PhD, assistant professor

40 Department of Medicine for Older People, Amsterdam UMC, Vrije Universiteit Amsterdam,
41 Amsterdam; Aging and Later Life, Amsterdam Public Health research institute

42 ORCID: 0000-0001-5301-6370

Accepted Manuscript—BJGP—BJGP.2023.0350

43 Abstract

44 **Background:** Unplanned hospitalisations represent a hazardous event for older persons. Timely
45 identification of high-risk individuals using a prediction tool may facilitate preventive interventions.

46 **Aim:** To develop and validate an easy-to-use prediction model for unplanned hospitalisations in
47 community-dwelling older adults using readily available data to allow rapid bedside assessment by
48 general practitioners.

49 **Design and Setting:** Retrospective study using general practice electronic health records of 243,129
50 community-dwelling adults aged ≥ 65 years linked with national administrative data.

51 **Methods:** The dataset was geographically split into a development (58.7%) and validation (41.3%)
52 sample to predict unplanned hospitalisations within 6 months. We evaluated the performance of
53 three different models with increasingly smaller selections of candidate predictors (i.e. optimal,
54 readily-available and easy-to-use model, respectively). We used logistic regression with backward
55 selection for model development. The models were validated internally and externally. We assessed
56 predictive performance by area under the curve (AUC) and calibration plots.

57 **Results:** In both samples, 7.6% had at least one unplanned hospitalisation within 6 months. The
58 discriminative ability of the three models was comparable and remained stable after geographic
59 validation. The easy-to-use model included age, sex, prior hospitalisations, pulmonary emphysema,
60 heart failure and polypharmacy. Its discriminative ability after validation was AUC 0.72 [95%
61 confidence interval: 0.72-0.71]. Calibration plots showed good calibration.

62 **Conclusion:** Our models showed satisfactory predictive ability. Reducing the number of predictors
63 and geographic validation did not impact predictive performance, demonstrating the robustness of
64 the model. We developed an easy-to-use tool that may assist general practitioners in decision-
65 making and targeted preventive interventions.

66 **Keywords:** unplanned hospitalisations, older adults, prediction model, general practice, dementia
67

68 **How this fits in:** Unplanned hospital admissions in older adults are a critical concern for both
69 patients, family caregivers, healthcare professionals, and service planners. We developed and
70 validated a robust and easy-to-use prediction model using routinely recorded data from general
71 practices to predict the risk of unplanned hospital admissions in community-dwelling older adults.
72 Identifying older adults at high risk can facilitate targeted preventive interventions, such as case
73 management, telemedicine or anticipatory care planning. Moreover, the model could also be utilised
74 by policymakers for capacity planning of hospital beds.

75 Introduction

76 Increasing rates of unplanned hospitalisations in older adults are a major burden on healthcare
77 systems worldwide. For patients, unplanned hospital admissions are associated with functional
78 decline and reduced quality of life(1). People with dementia are at particularly high risk of unplanned
79 admissions, associated with worsening of pre-existing cognitive problems and an increased risk of
80 readmission and death(2-4).

81 Preventing unplanned admissions is critical to ensure patient safety and well-being and aligns with
82 the World Health Organisation's philosophy of providing tailored care in appropriate settings for
83 older adults(5). A proactive approach optimises the allocation of scarce healthcare resources and
84 addresses a pervasive concern in healthcare systems worldwide, where increasing demand outpaces
85 the capacity of healthcare professionals. In the Netherlands, the Integral Care Agreement (ICA) of
86 2022 prioritises preventive measures for acute care, particularly for older adults. Through education,
87 prevention and early signalling initiatives, the ICA aims to reduce unplanned hospitalisations(6).

88 Interventions such as providing an anticipatory care plan, telemedicine and integrating a
89 multidisciplinary geriatric team have been shown to reduce the number of unplanned
90 hospitalisations(7-10). However, timely identification of high-risk groups is essential for
91 implementing proactive and targeted interventions.

92 General practitioners (GPs) are patients' primary point of contact and act as gatekeepers in many
93 healthcare systems, such as the Netherlands(11). Therefore, they play a pivotal role in identifying
94 those at risk for unplanned hospitalisation and targeting preventive interventions. A prediction
95 model that can accurately predict high-risk individuals by reusing patient registration data could help
96 GPs identify these individuals. The use of electronic health record (EHR) data offers opportunities for
97 the development, integration and automated calculation of an individual's risk, because it contains
98 comprehensive patient information and is derived from routine healthcare. The utilisation of these
99 readily available data for the development of a prediction model facilitates ease of use and reduces
100 time burden on GPs. Previous research has shown that administrative data can be useful in
101 accurately predicting unplanned hospitalisations(12, 13). However, the methodological quality of
102 these studies was limited and many models required additional data collection, making clinical use
103 difficult. Models based on routine care data have a lower threshold and might therefore be used
104 more frequently. As a result, their potential impact would be greater, even if the predictive power is
105 similar.

106 The aim of this study was to develop and validate a practical and easy-to-use prediction model for
107 unplanned hospitalisations using a Dutch representative sample of older persons in general practice.

108 The model was developed using current state-of-the-art methods and incorporating readily available

109 EHR data complemented with national administrative data. Also, we specifically assessed the
110 predictive performance of the model in a subsample of individuals with cognitive decline or
111 dementia.
112

Accepted Manuscript—BJGP—BJGP.2023.0350

113 Methods

114 We reported this study according to the Transparent Reporting of a multivariable prediction model
115 for Individual Prognosis or Diagnosis (TRIPOD) guidelines(14).

116

117 Sources of data

118 We used pseudonymised EHR data from GPs linked with data from national administrative
119 databases. The baseline data covered the year 2013, outcomes were assessed in 2014.

120 We used routine EHRs from a nationally representative sample of 417 Dutch general practices
121 participating in NIVEL-Primary Care Database (NIVEL-PCD)(15). This database covers about 10% of the
122 Dutch population and is representative in terms of practice type, urbanisation level and age and
123 gender distribution(15, 16). Data includes information on chronic conditions, medication and GP
124 consultations. GPs receive support in coding and feedback in the quality of recording(16). In the
125 Netherlands, all Dutch inhabitants are registered with a GP and have mandatory health insurance. GP
126 care is fully insured, therefore the threshold for consultation is low. Nine out of ten people aged ≥ 65
127 visit their GP at least once a year, with an average of eight consultations per year(17).

128 Administrative data was provided by Statistics Netherlands, the governmental institution responsible
129 for processing statistical data in the Netherlands. These included demographic information and data
130 on institutionalisation and death. Data on hospitalisations were derived from the Dutch Hospital Data
131 (DHD) database, made available by Statistics Netherlands. In 2013 and 2014, DHD contained data
132 from 87 out of 88 general and academic hospitals in the Netherlands.

133

134 Study population

135 The study population consisted of individuals aged ≥ 65 years, living at home, and registered
136 uninterrupted in one practice between 1 January 2013 and 31 December 2013 (baseline period). To
137 avoid potential noise from admissions to a long-term care facility and deaths in predicting the
138 outcome, individuals without experiencing an unplanned admission among those who died or were
139 admitted to an LTCF within the prediction period were excluded from the analysis (Supplementary
140 Figure 1). The number of excluded individuals varied depending on the follow-up period (3, 6, and 12
141 months) (Figure 1).

142

143 Outcome

144 The primary outcome was unplanned hospitalisation with at least one overnight stay within 6 months
145 and derived from national administrative data. Admissions were defined unplanned, when
146 immediate treatment or assistance within 24 hours was necessary according to the medical
147 specialist(18). Admissions without overnight stay and admissions for psychiatric conditions were
148 excluded, since these often require different care trajectories. Secondary outcomes were unplanned
149 admissions within 3 and 12 months.

150

151 Predictors

152 Updating existing prediction models was not feasible, due to the incomparability of the predictors in
153 our dataset with the predictors in existing models as well as the low methodological quality of these
154 studies(12). To (partially) incorporate information from existing models, we selected variables
155 commonly included in existing models as candidate predictors for our model, e.g. prior
156 hospitalisations and several chronic conditions(12). In addition, we selected variables based on the
157 insights from a focus group study we organised among primary healthcare professionals (to be
158 published) and based on the clinical expertise of the authors. Ultimately, we selected 29 candidate
159 predictors including age, sex, migration background, income, living situation, chronic conditions,
160 prescription medications, and healthcare utilisation (see Supplementary Table 1 for a detailed
161 description). Chronic conditions were derived from ICPC-1 coded EHR data (WHO International
162 Classification of Primary Care(19)) recorded up to the end of the baseline period. In NIVEL-PCD, GPs
163 received feedback on the quality of recording and support in coding(16). Chronic conditions were
164 selected because of their high prevalence in older adults(20). Dementia was added because of its
165 strong association with hospitalisation(2). Medication variables were derived from prescription data
166 coded with the Anatomical Therapeutic Chemical (ATC) Classification system and included when
167 prescribed in a chronic fashion (i.e. >2 prescriptions(21)) in the year before baseline. Consult
168 declarations (CTG-codes in Dutch) were derived from coded claims data recorded in general practices
169 in the year before baseline.

170

171 Missing data

172 As the data were derived from routine care processes, any undocumented information in the EHR
173 was not indicated. For the data provided by Statistics Netherlands, income data had missing values

174 for 116 individuals (<0.01%). This justified conducting a complete case analysis considering the
175 negligible proportion of missing data and the minimal potential impact on our results(12, 13, 22, 23).

176

177 **Statistical analysis**

178 We assessed linearity for continuous variables using restricted cubic splines(24). Non-linear variables
179 were tested as splines and as categorical variables in the logistic model. If the spline did not improve
180 performance, we chose the categorical variant because we wanted a practical model. Collinearity
181 was evaluated using variance inflation factors (VIF). VIFs ranged between 1.01 and 2.43, therefore
182 problematic collinearity was absent(25).

183 *Model development*

184 Our large sample provided sufficient statistical power to split the sample into a development and
185 validation sample based on geographic region. We used the larger sample, i.e. the six southernmost
186 provinces (58.7%), for development and the smaller sample for validation (Supplementary Figure 2).
187 Geographic validation is considered a stronger approach compared to a random split sample
188 procedure(14, 26).

189 For model building, we followed the recommended steps outlined in the TRIPOD guidelines (14) and
190 by Steyerberg(27). We performed multivariable logistic regression with backward stepwise selection
191 ($P<0.01$) using all 29 candidate predictors to design an optimal model (i). Given the sample size, we
192 had sufficient power to fit a more parsimonious model by incrementally removing the variables with
193 weakest association, until the area under the curve (AUC) deteriorated by ≥ 0.01 . Internal validation
194 was performed through bootstrapping ($n=250$).

195 This procedure was repeated twice with smaller subsets of candidate predictors to develop a model
196 with only variables readily available from the EHR (readily-available model (ii)) and with only easy-to-
197 use variables (easy-to-use model (iii)), using 24 and 22 candidate predictors, respectively
198 (Supplementary Table 1). The easy-to-use model was designed to allow rapid completion by a GP, we
199 therefore selected variables that are quick and easy to fill. All three models were validated in the
200 northern sample.

201 *Model performance*

202 Discrimination was evaluated through AUC and calibration through calibration plots, intercept and
203 slope. We determined the shrinkage factor to quantify overfitting. We also assessed classification
204 measures, including sensitivity, specificity, positive and negative predictive values, for multiple
205 probability thresholds. The optimal probability threshold was determined using the Youden
206 index(28).

207 *Sensitivity analysis*

208 Sensitivity analyses were undertaken in the optimal model to assess performance for different
209 follow-up periods (i.e. 3 and 12 months). Furthermore, we assessed performance in subsamples with
210 cognitive decline or dementia (ICPC-1 P20 or P70). Additionally, we evaluated model performance in
211 a sample including individuals who had died or been admitted to an LTCF within 6 months. Statistical
212 analyses were performed using SPSS (version 26) and R Studio (version 4.1.2) using packages *rms*,
213 *pROC*, and *psfmi*.

214

Accepted Manuscript—BJGP—BJGP.2023.0350

215 Results

216 Participants

217 Overall, 243,324 individuals were included in the six-month sample (58.7% development and 41.3%
218 validation sample) (Figure 1). Prevalence of candidate predictors and incidence of outcome are
219 shown in Table 1. In both samples, median age was 72 years, 54% was female, and approximately
220 40% had ≥ 2 comorbidities, of which osteoarthritis was most prevalent. Thirteen percent experienced
221 at least one hospitalisation the year before baseline. In both samples, 7.6% experienced one or more
222 unplanned hospitalisations within six months.

223 Model development and validation

224 The optimal model included eight predictors: sex, age, prior hospitalisations, chronic obstructive
225 pulmonary disease (COPD), polypharmacy, use of blood thinners, number of GP or practice nurse
226 consultations, and percentage of GP home visits (Table 2). When applied to the validation sample,
227 the AUC was 0.73 (95%-CI 0.72-0.73). Youden's optimal probability threshold was 0.07, reflecting a
228 sensitivity of 65.7% and a specificity of 68.5% in the validation sample (Table 3 and Figure 2). We
229 reported performance measures for multiple probability thresholds to accommodate varying
230 clinician preferences for risk estimation (Box 1).

231 The readily-available model contained all predictors of the optimal model except for prior
232 hospitalisations (Table 2). Compared to the optimal model, the AUC in the validation sample was
233 marginally lower (AUC 0.72 (95%-CI 0.71-0.72)).

234 The easy-to-use model included age, sex, hospitalisations in the past year, heart failure, COPD, and
235 polypharmacy (Table 2). When applied to the validation sample, this resulted in an AUC of 0.72 (95%-
236 CI: 0.71-0.72). To allow for individualised predictions of this model, an Excel spreadsheet is provided
237 as supplement (Supplementary File 2).

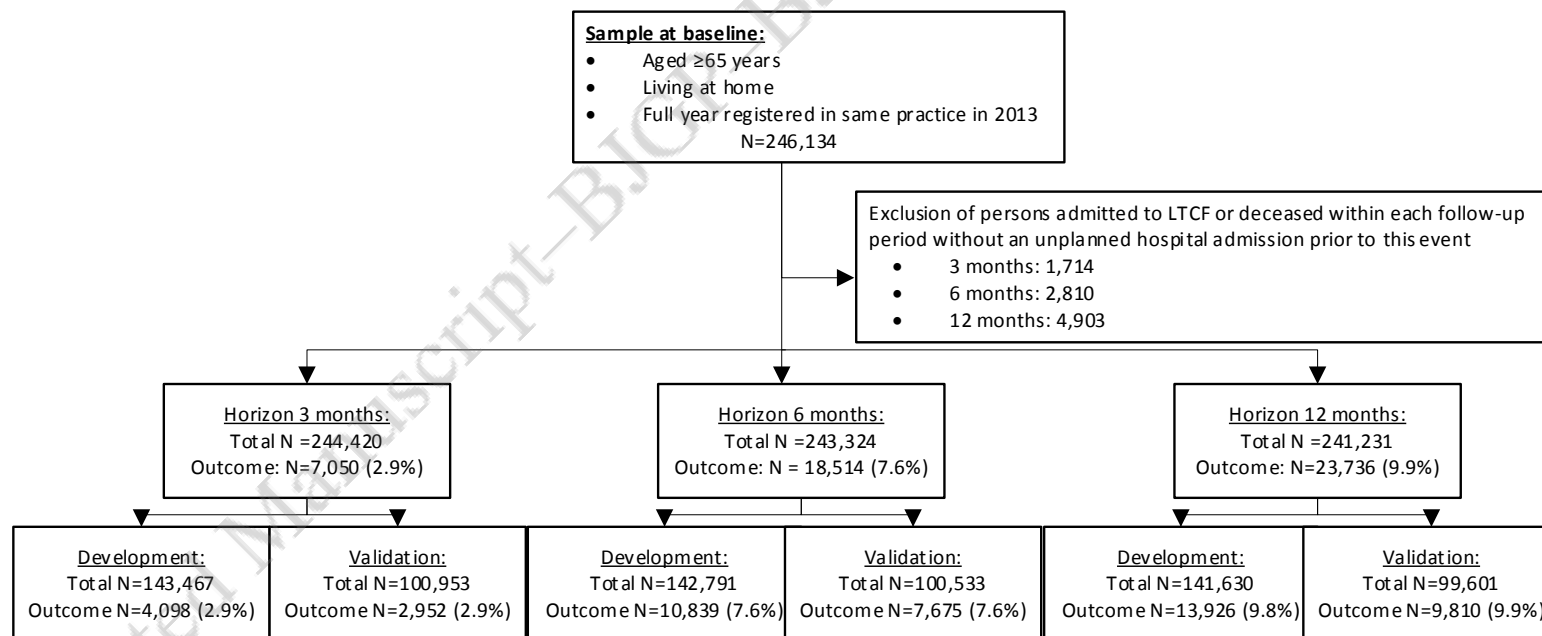
238 For all three models, bootstrapping resulted in an optimism of the AUC, intercept and slope < 0.001 ,
239 therefore no adjustments of the coefficients were required. Calibration of all models was good, the
240 slope and intercept did not deviate to the extent that model updating was undertaken
241 (Supplementary Figure 2).

242 Sensitivity analyses

243 Testing the optimal model in persons with cognitive decline resulted in an AUC of 0.67 (95% CI: 0.65
244 – 0.69) in both samples. The optimal model showed good predictive ability when fitted in the 3- and
245 12-month follow-up samples. However, the calibration plots showed systematic over- and
246 underestimation in the 3- and 12-month samples, respectively. Finally, evaluating the optimal model
247 in a sample including those who died or were admitted to an LTCF within 6 months resulted in an

248 AUC of 0.72 (95% CI: 0.72 – 0.73). See Supplementary Tables 2-4 and Supplementary Figure 3 for
249 details of these analyses.

Accepted Manuscript–BJGP–BJGP.2023.0350



Variable	Development (South) Total N = 142,791	Validation (North) Total N = 100,533
Age in years, median (IQR)	72 (10)	72 (10)
Female sex, N (%)	76,892 (53.8)	54,141 (53.9)
Living alone, N (%)	44,104 (30.9) ^a	32,720 (32.5) ^a
Recent widowhood, N (%)	11,073 (7.8)	7,885 (7.8)
Non-western migration background, N (%)	4,065 (2.8) ^a	3,587 (3.6) ^a
Annual household income above €25,500, N (%) [*]	51,913 (36.4) ^a	34,922 (34.7) ^a
Number of admissions in past year, N (%)		
- One	13,034 (9.1) ^a	9,548 (9.5) ^a
- Two or more	5,258 (3.7) ^a	3,926 (3.9) ^a
Cancer, N (%)	24,637 (17.3) ^a	18,341 (18.2) ^a
Coronary artery disease, N (%)	19,231 (13.5)	13,592 (13.5)
Heart failure, N (%)	5,796 (4.1) ^a	4,617 (4.6) ^a
Stroke (ischemic or haemorrhage) or TIA, N (%)	10,604 (7.4)	7,393 (7.4)
Chronic neck- or back disorder, N (%)	16,459 (11.5)	11,777 (11.7)
Osteoarthritis, N (%)	27,661 (19.4) ^a	20,124 (20.0) ^a
Anxiety disorder, N (%)	2,805 (2.0)	2,081 (2.1)
Depression, N (%)	4,898 (3.4)	3,524 (3.5)
Chronic obstructive pulmonary disease, N (%)	12,908 (9.0)	9,286 (9.2)
Diabetes mellitus, N (%)	23,521 (16.5) ^a	17,423 (17.3) ^a
Dementia, N (%)	1,910 (1.3)	1,365 (1.4)
Multimorbidity, N (%)	59,867 (41.9) ^a	43,534 (43.3) ^a
Polypharmacy, N (%)		
- 5-9 prescription medication	29,951 (21.0) ^a	22,058 (21.9) ^a
- ≥10 prescription medication	4,898 (3.4) ^a	3,471 (3.5) ^a
Blood thinners (VKA, DOAC or antiplatelet), N (%)	41,620 (29.1) ^a	28,643 (28.5) ^a
Number of FRIDs, median (IQR)	0 (1)	0 (1)
Taking ≥2 FRIDs and ≥1 blood thinner, N (%)	8,733 (6.1)	6,191 (6.2)
NSAIDs, N (%)	7,666 (5.4)	5,266 (5.2)
Triple whammy **, N (%)	2,473 (1.7)	1,835 (1.8)
Number of CTV contacts with GP or practice nurse past year, median (IQR)	5 (7)	5 (7)
Percentage home visits past year, N (%)		
- No home visits (ref)	116,664 (81.7) ^a	81,542 (81.1) ^a
- Up to 25% home visits	13,695 (9.6) ^a	9,826 (9.8) ^a
- >25% home visits	12,432 (8.7) ^a	9,165 (9.1) ^a
Change in contact rate in past 3 months, N (%)		
- Less than past 3 months (ref)	66,683 (46.7) ^a	46,011 (45.8) ^a
- As much as past 3 months	41,292 (28.9) ^a	29,869 (29.7) ^a
- More than past 3 months	34,816 (24.4) ^a	24,653 (24.5) ^a
Possible care avoider***, N (%)	6,427 (4.5)	4,529 (4.5)
Unplanned hospital admission within 6 months, N (%)	10,839 (7.6)	7,675 (7.6)

253

254 **Table 1 Characteristics of candidate predictors in 6-month development and validation samples**

255 * Annual household income had 83 missings in the southern sample, and 33 missings in the northern sample.

256 ** Concurrent use of an antidiuretic, ACE-inhibitor and NSAID (Supplementary Table 1).

257 *** Defined as ≥1 chronic condition and no registered contact with GP or practice nurse in the past year (Supplementary Table 1).

258 ^a P<0.05 between groups

259 COPD = chronic obstructive pulmonary disease, CTV = consults, telephone consults & home visits, DOAC = direct oral
260 anticoagulants, FRIDs = Fall Risk Increasing Drugs, GP = general practitioner, IQR = interquartile range, NSAIDs = non-steroid
261 anti-inflammatory drugs, VKA = vitamin K antagonists.

	Optimal model				Readily-available model				Easy-to-use model		
	β coefficient (95%-CI)	OR	p-value		β coefficient (95%-CI)	OR	p-value		β coefficient (95%-CI)	OR	P-value
Intercept	-6.036 (-6.26 – -5.81)				-5.627 (-5.85 – -5.40)				-6.784 (-7.00 – -6.57)		
Age (years)	0.040 (0.04 – 0.04)	1.04	<0.001		0.035 (0.03 – 0.04)	1.04	<0.001		0.053 (0.05 – 0.06)	1.05	<0.001
Female sex	-0.279 (-0.32 – -0.24)	0.76	<0.001		-0.330 (-0.37 – -0.29)	0.72	<0.001		-0.23 (-0.27 – -0.19)	0.79	<0.001
Admissions in past year			<0.001								<0.001
None (ref)	0	1							0	1	
1	0.499 (0.44 – 0.56)	1.65							0.660 (0.60 – 0.72)	1.93	
≥2	0.972 (0.90 – 1.05)	2.64							1.23 (1.16 – 1.30)	3.43	
Heart failure									0.378 (0.30 – 0.45)	1.46	<0.001
COPD	0.420 (0.36 – 0.48)	1.52	<0.001		0.430 (0.37 – 0.49)	1.54	<0.001		0.436 (0.38 – 0.49)	1.55	<0.001
Polypharmacy			<0.001				<0.001				<0.001
0-4 medications (ref)	0	1			0	1			0	1	
5-9 medications	0.380 (0.33 – 0.43)	1.46			0.424 (0.37 – 0.47)	1.53			0.574 (0.53 – 0.62)	1.78	
≥10 medications	0.613 (0.53 – 0.70)	1.85			0.715 (0.63 – 0.80)	2.0			1.012 (0.93 – 1.09)	2.75	
Blood thinners	0.214 (0.17 – 0.26)	1.24	<0.001		0.246 (0.20 – 0.29)	1.28	<0.001				
Number of CTV contacts	0.022 (0.02 – 0.03)	1.02	<0.001		0.028 (0.03 – 0.03)	1.03	<0.001				
Percentage home visits			<0.001				<0.001				
None (ref)	0	1			0	1					
≤25%	0.309 (0.25 – 0.37)	1.36			0.498 (0.44 – 0.56)	1.64					
>25%	0.470 (0.41 – 0.53)	1.60			0.641 (0.58 – 0.70)	1.90					

	Development	Validation	Development	Validation	Development	Validation
AUC (95%-CI)	0.73 (0.72 – 0.73)	0.73 (0.72-0.73)	0.72 (0.71 – 0.72)	0.72 (0.71-0.72)	0.72 (0.71-0.72)	0.72 (0.71-0.72)

263 **Table 2 The final prediction models from the multivariable logistic regression based on the development sample together with odds ratios (OR), 95%-confidence intervals (CI), and area**
264 **under the curve (AUC) in development and validation samples. CTV = consults, telephone consults & home visits, COPD = chronic obstructive pulmonary disease.**

Probability threshold	Development				Validation			
	Sensitivity, %	Specificity, %	PPV, %	NPV, %	Sensitivity, %	Specificity, %	PPV, %	NPV, %
0.05	79.7	51.2	11.8	96.8	80.4	50.6	11.9	96.9
0.07	64.5	69.8	14.9	96.0	65.7	68.5	14.7	96.0
0.1	48.3	82.6	18.6	95.1	49.6	81.5	18.1	95.1
0.15	31.1	91.5	23.2	94.2	33.3	90.8	23.1	94.3
0.20	20.6	95.4	26.9	93.6	22.9	94.9	27.0	93.7
0.25	14.2	97.4	31.0	93.3	15.7	97.9	29.9	93.3

265 **Table 3 Measures of predictive performance of the optimal model in the development and validation sample for multiple probability thresholds.**
266 **The 0.07 threshold is defined by Youden's index as optimal probability threshold. PPV = positive predictive value, NPV = negative predictive value**

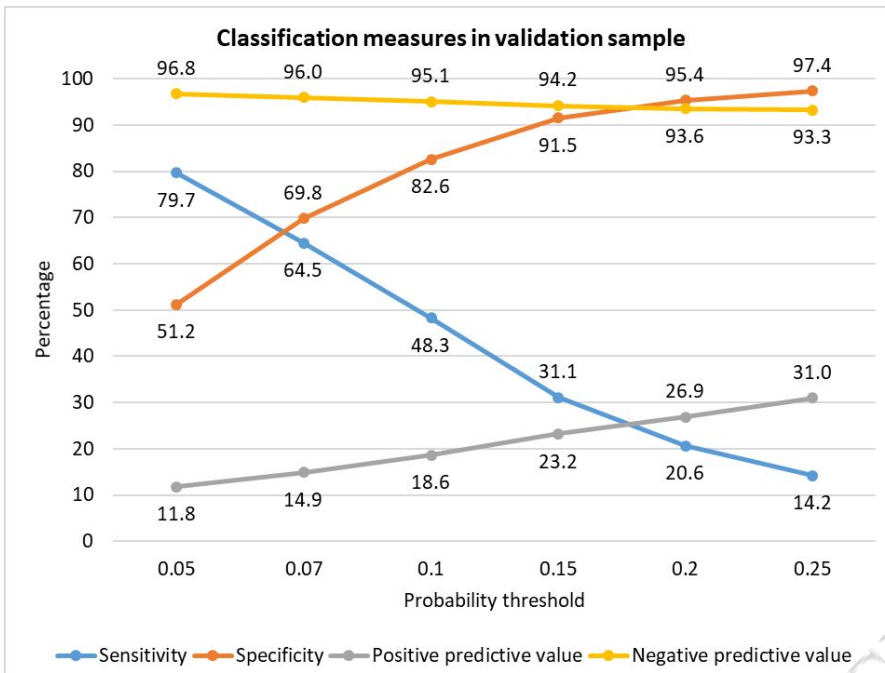


Figure 2 Graphical presentation of performance measures of the optimal model in the validation sample

Clinical implications of choice of cut-off value

Choosing a cut-off value provides the opportunity to stratify patients into low and high-risk groups. This facilitates clinical decision-making. To illustrate this, we consider a practice consisting of 500 community-dwelling patients aged 65 and over. We compare the consequences of two different cut-off values (or probability thresholds): 0.07 and 0.15. We assume a prior probability of 7.6% for each patient (prevalence). The 2x2 contingency tables for both cut-offs are shown below.

Using a cut-off of 0.07 stratifies approximately one third of the practice’s older population as high risk, requiring screening or intervention. However, this choice results in a high number of false positives, where individuals are identified as high risk, but do not experience the predicted outcome. At the individual level, using a threshold of 0.07 increases the probability of a patient being classified as high risk for unplanned hospitalisation by a factor of 2, from 7.6% to 14.9%. This means that out of 100 high-risk patients, 15 will have an unplanned hospital admission within 6 months.

Alternatively, using a cut-off value of 0.15, one in ten older patients will be classified as high risk, resulting in a substantially lower number of false positives. However, the number of false negatives doubles, indicating that some potential cases are missed. For a high-risk patient at the 0.15 threshold, the probability of unplanned hospital admissions increases by a factor of 3 to 23.2%. Consequently, out of 100 high risk patients, 23 would experience an unplanned hospitalisation within 6 months.

It depends on the clinician how much risk they are willing to take to avoid missing an unplanned admission. Opting for a lower threshold results in a low number of false negatives, but raises the probability of false positives, requiring a more extensive and labor-intensive screening process.

Threshold = 0.07	Admission +	Admission -	Total
High risk	25 (TP)	140 (FP)	165
Low risk	13 (FN)	322 (TN)	335
Total	38	462	500

Threshold = 0.15	Admission +	Admission -	Total
High risk	12 (TP)	39 (FP)	51
Low risk	26 (FN)	423 (TN)	449
Total	38	462	500

2x2 Contingency tables for threshold 0.07 (left) and 0.15 (right)

Box 1 Practical guidance on choosing the appropriate cut-off value

FN: false negative, FP: false positive, TN: true negative, TP: true positive

270 Discussion

271 Summary

272 In this study, we used routinely recorded and linked health and census data to develop and validate
273 an easy-to-use prediction model for unplanned hospitalisations in community-dwelling older adults.
274 Predictors associated with unplanned hospital admission included age, sex, hospitalisation in the past
275 year, polypharmacy, the use of blood thinners, COPD, heart failure, number of CTV contacts and
276 percentage of home visits. The optimal model showed satisfactory discrimination and good
277 calibration. Moreover, geographic validation, reducing the number of predictors, changing the
278 prediction horizon, and including individuals who died or were admitted to LTCF within the
279 prediction period, all resulted in a negligible decrease in discriminative ability, demonstrating
280 robustness of the model. This model lost discriminatory power in a subsample of individuals with
281 dementia or cognitive decline. Our results enable GPs to identify patients who may benefit from
282 targeted admission prevention strategies. To improve predictions, we emphasise the importance of
283 routine recording or incorporation of hospitalisation data in the EHR.

284

285 Comparison with existing literature

286 We identified 19 existing prediction models to predict hospitalisations in older adults(12). Our model
287 showed similar performance and overlap in the most commonly included variables. However, while
288 many existing models used a 12-month prediction horizon, we chose a 6-month horizon from a
289 clinical perspective, because a high predicted probability of hospitalisation within 6 months is more
290 likely to trigger timely clinical action than the same probability of hospitalisation within 12 months.
291 However, model validation over 12 months demonstrated equivalent discriminatory ability, albeit
292 with systematic underestimation, requiring adjustment of the intercept.

293 Two previous studies developed a model for people with dementia in primary care, and both
294 demonstrated good predictive performance. These found (changes in) psychotropic medication,
295 psychiatric diagnoses, and hypertension to be important predictors next to previous hospitalisations
296 and polypharmacy(29, 30). Other studies found duration and severity of dementia, caregiver burden
297 and continuity of care associated with hospitalisation(31-33). To improve predictive accuracy in
298 individuals with cognitive decline, these predictors may need to be considered for inclusion in the
299 model.

300

301 Strengths and limitations

302 A strength of this study is the use of multiple approaches for model development, providing valuable
303 insights into the relative effectiveness and practical utility. By considering the advantages and
304 limitations of each approach, healthcare providers and policymakers can make informed decisions
305 about which model is suitable for their specific needs and resources. The use of EHR data enriched
306 with national administrative data resulted in the best predictive model, i.e. the optimal model. Using
307 structured EHR data allows the readily-available model to be implemented nationwide. However, it
308 includes more time-consuming variables compared to the easy-to-use model. By facilitating rapid
309 bedside assessment, the easy-to-use model is more accessible to GPs, while incorporating the most
310 predictive variable: prior hospitalisations. Furthermore, the large longitudinal sample and its
311 nationwide representativeness suggests these findings could be generalised across the Netherlands.
312 This study also has limitations. As advocated in literature(34, 35), updating an existing prediction
313 model is preferred over simply developing a new model, so information from the previous models is
314 not neglected. However, model updating is only valuable provided the original model's development
315 is appropriately performed and variables and outcomes are determined in a similar way(34). For this
316 study however, the low quality of reporting in the previous studies(12), and the lack of several
317 variables in our dataset made updating infeasible. Moreover, differences in care systems between
318 countries complicate the transportability of existing models to other geographical populations(36),
319 and no model had yet been developed in the Netherlands. Altogether, this large sample called for the
320 development of a new model rather than updating an existing one. Nevertheless, to incorporate data
321 from previous models as much as possible, we assessed the variables most frequently included in
322 previous models as candidate predictors for inclusion in our model. Furthermore, although our data
323 are approximately 10 years old, the relevance remains. Reviews have shown the long-term trends
324 and relative stability over time of included predictors of unplanned hospital admissions, such as prior
325 health care use, chronic conditions and polypharmacy(12, 13, 37).

326

327 Implication for research and practice

328 The implementation of ageing-in-place policies in the Netherlands in 2015, which included a
329 reduction of the residential care capacity, has increased the number of older adults living in the
330 community(38). Since this study used data from before the implementation of this policy, a different
331 case-mix of community-dwelling older adults may be expected. Therefore, validation in more recent
332 data is recommended. Additionally, we emphasise the importance of systematic recording of

333 hospitalisations in the EHR to enable practical implementation and provide the most accurate risk
334 estimates, as prior hospitalisations is the strongest predictor of future hospitalisations.
335 Our models may support timely identification and proactive intervention of older patients at risk of
336 unplanned hospitalisation. When selecting the appropriate cut-off value for targeting interventions,
337 clinicians should prioritise factors as patient preference, intervention time burden, and the trade-off
338 between intervention benefits and potential missed cases (Box 1). Finally, our model could assist
339 policymakers estimate the required number of hospital beds in the region to anticipate on the
340 needed capacity.

Accepted Manuscript—BJGP—BJGP.2023.0350

341 **Funding:**

342 The work was supported by the Netherlands Organization for Health Research and Development
343 (ZonMw) (Grant No. 733050403), Stichting PvE fonds and the I-CARE4OLD project (Grant Agreement
344 No. 96534). More information on the I-CARE4OLD project can be found at <http://www.icare4old.eu>
345 and <https://cordis.europa.eu/project/id/965341>. All sponsors had no role in the design, methods,
346 subject recruitment, data collection, analysis or preparation of the study.

347
348

349 **Ethical approval**

350 This study has been approved according to the governance code of Nivel Primary Care Database. This
351 can be found under number NZR-00315.063. As pseudonymised data were used that were collected
352 for routine administrative registration purposes, the informed consent of the participants was not
353 necessary. Patients were informed by their GP about the use of their pseudonymised health data and
354 were given the opportunity to object.

355

356 **Competing interests**

357 The authors declare that they have no competing interests.

358

Accepted Manuscript – BJGP – BJGP-2023-0350

359 **Reference list**

- 360 1. Long SJ, Brown KF, Ames D, Vincent C. What is known about adverse events in older medical
361 hospital inpatients? A systematic review of the literature. *Int J Qual Health Care*. 2013;25(5):542-54.
362 2. Shepherd H, Livingston G, Chan J, Sommerlad A. Hospitalisation rates and predictors in
363 people with dementia: a systematic review and meta-analysis. *BMC Med*. 2019;17(1):130.
364 3. Fogg C, Griffiths P, Meredith P, Bridges J. Hospital outcomes of older people with cognitive
365 impairment: An integrative review. *Int J Geriatr Psychiatry*. 2018;33(9):1177-97.
366 4. Lehmann J, Michalowsky B, Kaczynski A, Thyrian JR, Schenk NS, Esser A, et al. The Impact of
367 Hospitalization on Readmission, Institutionalization, and Mortality of People with Dementia: A
368 Systematic Review and Meta-Analysis. *Journal of Alzheimer's Disease*. 2018;64:735-49.
369 5. Araujo de Carvalho I, Epping-Jordan J, Pot AM, Kelley E, Toro N, Thiyagarajan JA, Beard JR.
370 Organizing integrated health-care services to meet older people's needs. *Bull World Health Organ*.
371 2017;95(11):756-63.
372 6. Ministry of Health WaS. Integral Care Agreement "Working together on healthy care". 2022.
373 7. Baker A, Leak P, Ritchie LD, Lee AJ, Fielding S. Anticipatory care planning and integration: a
374 primary care pilot study aimed at reducing unplanned hospitalisation. *Br J Gen Pract*.
375 2012;62(595):e113-20.
376 8. Pritchard C, Ness A, Symonds N, Siarkowski M, Broadfoot M, McBrien KA, et al. Effectiveness
377 of hospital avoidance interventions among elderly patients: A systematic review. *Cjem*.
378 2020;22(4):504-13.
379 9. Costa AP, Haughton D, Heckman G, Bronskill S, Sinha S, McKelvie R. THE DIVERT-CARE
380 CATALYST TRIAL: TARGETED CHRONIC-DISEASE MANAGEMENT FOR HOME CARE CLIENTS. *Innovation*
381 *in Aging*. 2017;1(suppl_1):322-3.
382 10. Nord M, Lyth J, Alwin J, Marcusson J. Costs and effects of comprehensive geriatric
383 assessment in primary care for older adults with high risk for hospitalisation. *BMC Geriatr*.
384 2021;21(1):263.
385 11. Starfield B, Shi L, Macinko J. Contribution of primary care to health systems and health.
386 *Milbank Q*. 2005;83(3):457-502.
387 12. Klunder JH, Panneman SL, Wallace E, de Vries R, Joling KJ, Maarsingh OR, van Hout HPJ.
388 Prediction models for the prediction of unplanned hospital admissions in community-dwelling older
389 adults: A systematic review. *PLoS One*. 2022;17(9):e0275116.
390 13. Wallace E, Stuart E, Vaughan N, Bennett K, Fahey T, Smith SM. Risk prediction models to
391 predict emergency hospital admission in community-dwelling adults: a systematic review. *Med Care*.
392 2014;52(8):751-65.
393 14. Moons KG, Altman DG, Reitsma JB, Ioannidis JP, Macaskill P, Steyerberg EW, et al.
394 Transparent Reporting of a multivariable prediction model for Individual Prognosis or Diagnosis
395 (TRIPOD): explanation and elaboration. *Ann Intern Med*. 2015;162(1):W1-73.
396 15. Donker GA. Netherlands Institute for Health Services Research Care Registrations - sentinel
397 stations 2013. In: Research NifHS, editor. 2014.
398 16. Verheij RA, Curcin V, Delaney BC, McGilchrist MM. Possible Sources of Bias in Primary Care
399 Electronic Health Record Data Use and Reuse. *J Med Internet Res*. 2018;20(5):e185.
400 17. Nielen M, Hek K, Weesie Y, Davids R, Korevaar J. How often do Dutch people contact GP
401 practices? Care use in general practice in 2019. Nivel: Utrecht, The Netherlands; 2020.
402 18. Statistics/Netherlands. Report on Dutch hospital discharge register (LBZBASISTAB). 2023.
403 19. Lamberts H, Wood M. International Classification of Primary Care. Oxford University Press.
404 1987.
405 20. van Oostrom SH, Picavet HSJ, van Gelder BM, Lemmens LC, Hoeymans N, van Dijk CE, et al.
406 Multimorbidity and comorbidity in the Dutch population – data from general practices. *BMC Public*
407 *Health*. 2012;12(1):715.
408 21. van Marum RJ VM, de Vries-Moeselaar AC, et al. . Multidisciplinary guideline for
409 polypharmacy in Older Adults. *Richtlijndatabase.nl*; 2012.

- 410 22. Jakobsen JC, Gluud C, Wetterslev J, Winkel P. When and how should multiple imputation be
411 used for handling missing data in randomised clinical trials – a practical guide with flowcharts. *BMC*
412 *Medical Research Methodology*. 2017;17(1):162.
- 413 23. White IR, Royston P, Wood AM. Multiple imputation using chained equations: Issues and
414 guidance for practice. *Stat Med*. 2011;30(4):377-99.
- 415 24. Marrie RA, Dawson NV, Garland A. Quantile regression and restricted cubic splines are useful
416 for exploring relationships between continuous variables. *J Clin Epidemiol*. 2009;62(5):511-7.e1.
- 417 25. Fox J. *Applied regression analysis and generalized linear models*, 2nd ed. Thousand Oaks, CA,
418 US: Sage Publications, Inc; 2008. xxi, 665-xxi, p.
- 419 26. Steyerberg EW. Validation of Prediction Models. *Clinical Prediction Models: A Practical*
420 *Approach to Development, Validation, and Updating*. Cham: Springer International Publishing; 2019.
421 p. 329-44.
- 422 27. Steyerberg EW. Selection of Main Effects. *Clinical Prediction Models: A Practical Approach to*
423 *Development, Validation, and Updating*. Cham: Springer International Publishing; 2019. p. 207-25.
- 424 28. Habibzadeh F, Habibzadeh P, Yadollahie M. On determining the most appropriate test cut-off
425 value: the case of tests with continuous results. *Biochem Med (Zagreb)*. 2016;26(3):297-307.
- 426 29. Maust DT, Kim HM, Chiang C, Langa KM, Kales HC. Predicting Risk of Potentially Preventable
427 Hospitalization in Older Adults with Dementia. *J Am Geriatr Soc*. 2019;67(10):2077-84.
- 428 30. Tsang G, Zhou SM, Xie X. Modeling Large Sparse Data for Feature Selection: Hospital
429 Admission Predictions of the Dementia Patients Using Primary Care Electronic Health Records. *IEEE J*
430 *Transl Eng Health Med*. 2021;9:3000113.
- 431 31. Gungabissoon U, Perera G, Galwey NW, Stewart R. Potentially avoidable causes of
432 hospitalisation in people with dementia: contemporaneous associations by stage of dementia in a
433 South London clinical cohort. *BMJ Open*. 2022;12(4):e055447.
- 434 32. Afonso-Argilés FJ, Meyer G, Stephan A, Comas M, Wübker A, Leino-Kilpi H, et al. Emergency
435 department and hospital admissions among people with dementia living at home or in nursing
436 homes: results of the European RightTimePlaceCare project on their frequency, associated factors
437 and costs. *BMC Geriatr*. 2020;20(1):453.
- 438 33. Rudolph JL, Zanin NM, Jones RN, Marcantonio ER, Fong TG, Yang FM, et al. Hospitalization in
439 community-dwelling persons with Alzheimer's disease: frequency and causes. *J Am Geriatr Soc*.
440 2010;58(8):1542-8.
- 441 34. Janssen KJM, Moons KGM, Kalkman CJ, Grobbee DE, Vergouwe Y. Updating methods
442 improved the performance of a clinical prediction model in new patients. *Journal of Clinical*
443 *Epidemiology*. 2008;61(1):76-86.
- 444 35. Binuya MAE, Engelhardt EG, Schats W, Schmidt MK, Steyerberg EW. Methodological guidance
445 for the evaluation and updating of clinical prediction models: a systematic review. *BMC Med Res*
446 *Methodol*. 2022;22(1):316.
- 447 36. Klunder JH, Bordonis V, Heymans MW, van der Roest HG, Declercq A, Smit JH, et al.
448 Predicting unplanned hospital visits in older home care recipients: a cross-country external validation
449 study. *BMC Geriatrics*. 2021;21(1):551.
- 450 37. O'Caoimh R, Cornally N, Weathers E, O'Sullivan R, Fitzgerald C, Orfila F, et al. Risk prediction
451 in the community: A systematic review of case-finding instruments that predict adverse healthcare
452 outcomes in community-dwelling older adults. *Maturitas*. 2015;82(1):3-21.
- 453 38. Maarse JAM, Jeurissen PP. The policy and politics of the 2015 long-term care reform in the
454 Netherlands. *Health Policy*. 2016;120(3):241-5.