Box S1. Main threats to safe patient transitions from hospital to primary care settings identified in literature review (PubMed April 2018)

Keywords used in search:

"Patient safety", "safety", "transitions of care", "patient transitions", "patient discharge", "incident" and "safety incident"

• Keywords were combined with Boolean terms AND and OR during searches

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Reference lists of relevant papers also searched for additional papers				
Discharge	1. Incorrect information on discharge letter			
communication	2. Missing information on discharge letter, including where a patient should attend for			
	medical attention if they become unwell, severity of patient's condition or how			
	urgently they needed to be assessed by their primary care doctor			
	3. Discrepancy between information given to patient or their relatives and their GP			
	4. Incorrect advice to patients or relatives			
	5. Lacking advice to patient or relatives			
	6. Illegible handwriting on discharge letter			
Medication	General Problems			
provision				
provision	 Incomprehensible documentation on prescriptions by secondary care doctors Errors or missing information 			
	9. Unclear instructions given to patient			
	10. Unclear instructions given to GP			
	11. Side effect profile not explained to patient			
	12. Drugs prescribed that interact with patients' other medications			
	13. Drugs prescribed which are contraindicated due to a patient's medical condition			
	Prescribing			
	14. Incorrect dose			
	15. Incorrect medication			
	16. Incorrect formulations			
	17. Incorrect routes of administration			
	Monitoring			
	18. Responsibility for monitoring not established			
	19. Appropriate monitoring not commenced			
	20. Doses not adjusted following monitoring			
	Dispensing errors			
	21. Incorrect dose given			
	22. Incorrect medication given e.g. if similar names			
	Administration errors			
	23. Incorrect medication administered			
	24. Incorrect dose administered			
	25. Medication received at incorrect time			
Medications	26. Warfarin			
considered to	27. Insulin			
pose risks to	28. Opiates			
patients	29. Antibiotics			
	30. ACE Inhibitors (ACEI)			
	31. Disease modifying anti-rheumatic drugs (DMARDS)			
	32. Clexane			
	33. Immunisations			
	34. NSAIDs			
	35. Steroids			
	36. Antiepileptic drugs			
Referrals to	37. Failures to recognise patients who would need community care			
community	38. Failure to reinstate care packages			
care teams	39. Poor assessment of patients and carers aptitude and dexterity for using therapeutic			
	adjuncts			
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	40. Incomplete referrals sent, or insufficient information provided to ensure safe			
	provision of community care.			
	41. Incorrect paperwork, pathway or referral method used			
Availability of	42. Urinary catheters			
therapeutic	43. Catheter bags			
adjuncts and	44. Insulin needles			
care	45. Wound dressings			
equipment	46. Medication dispensing (dosette) boxes			
	47. Sharps boxes			
Other	48. Lack of preparation of patients or relatives for discharge			
problems	49. Requests by secondary care teams inappropriately asking GPs to carry out tasks which			
	are beyond the remit of primary care services (e.g. inappropriate requests to refer			
	patients to other specialties or organising investigations)			
	50. Requests for GPs to follow up outstanding hospital test results			
	51. Poor arrangement of follow up plans			

Box S2. Delphi round 1 questionnaire: outline of free-text questions format (sent electronically using Qualtrics survey software (http://www.qualtrics.com)

DISCHARGE COMMUNICATION

This category relates to problems with **communication** between secondary and primary care teams during a patient's discharge.

In the space below, please list any problems which have occurred **in your practice**, related to communication between secondary and primary care teams during the **discharge of patients following an inpatient stay**, that have put patients at risk of harm.

Examples:

Incorrect or missing information on a discharge letter Lack of information given to patient or relatives Instructions in discharge letter not followed or missed by primary care team

If you cannot provide any examples, please write "not applicable" or "N/A" in the space below.

High Risk Patient Groups

In the space below, please list any patient groups who you feel are **especially vulnerable** to harm when problems occur in the **communication between secondary and primary care** teams following their discharge from hospital.

You may wish to consider groups based on specific medical problems, age, gender, or social or ethnic backgrounds.

If you cannot provide any examples, please write "not applicable" or "N/A" in the space below.

MEDICATION PROVISION

This category relates to problems that threaten the safe, ongoing **provision of patients' medications** following their discharge from hospital.

Please list any problems which have occurred **in your practice**, related to the safe provision of medications during a patient's **transition from secondary to primary care settings**, which have put patients at risk of harm.

Examples:

Prescription errors Inappropriate monitoring of patients on medications Patients unsure of how to take medications

If you cannot provide any examples, please write "not applicable" or "N/A" in the space below.

Please list the medications, or groups of medications, which you have found in your practice to be most regularly associated with risks to patient safety during their **transition from secondary to primary care settings**

<u>Examples:</u> Opiates Warfarin Antibiotics

If you cannot provide any examples, please write "not applicable" or "N/A" in the space below.

High Risk Patient Groups

In the space below, please list any patient groups who you feel are **especially vulnerable** to harm when problems occur in the provision of medications for patients **transitioning from secondary to primary care settings** following their discharge from hospital.

You may wish to consider groups based on specific medical problems, age, gender, or social or ethnic backgrounds.

If you cannot provide any examples, please write "not applicable" or "N/A" in the space below.

REFERRALS

This category relates to the reliability of **referrals** made by clinical staff in secondary <u>or</u> primary care to other services, for ongoing patient care **following their discharge from hospital**.

Please list any problems which have occurred **in your practice**, related to referrals of patients to other services, which have put patients at risk of harm.

Examples:

Inappropriate referrals by secondary care teams to community nursing staff or health visitors Referrals not made by primary care teams for follow up investigations as recommended by discharging secondary care team

If you cannot provide any examples, please write "not applicable" or "N/A" in the space below.

High Risk Patient Groups

In the space below, please list any patient groups who you feel are **especially vulnerable** to harm when problems occur in referrals to other services following patient transitions from **secondary to primary care services**.

You may wish to consider groups based on medical problems, age, gender, or social or ethnic backgrounds.

If you cannot provide any examples, please write "not applicable" or "N/A" in the space below.

AVAILABILITY OF THERAPEUTIC ADJUNCTS AND CARE EQUIPMENT

This category relates to the **availability of therapeutic adjuncts and care equipment** for patients following their discharge from hospital into primary care.

Please list any problems which have occurred **in your practice** related to problems in the provision of therapeutic adjuncts or care equipment, posing a potential, or actual, risk of harm to patients **following their discharge from hospital.**

Examples:

No provision of appropriate catheters Equipment requested by secondary care teams not available in the community

If you cannot provide any examples, please write "not applicable" or "N/A" in the space below.

High Risk Patient Groups

In the space below, please list any patient groups who you feel are **especially vulnerable** to harm when problems occur in the provision of therapeutic adjuncts and care equipment during patient **transitions from secondary to primary care services**.

You may wish to consider groups based on medical problems, age, gender, or social or ethnic backgrounds.

If you cannot provide any examples, please write "not applicable" or "N/A" in the space below.

Box S3. Threats to safe patient transitions, patient groups considered most at risk and medications considered to pose the greatest risk to patients during transitions from hospital to primary care following analysis of Round 1 responses

Main Categories	Themes	Threats
Key threats to	Communication	Poor quality of handover instructions from secondary care teams
safe patient	between primary and	
transitions from	secondary care	Key handover instructions not acted upon by primary care.
hospital to	teams	
primary care		Problems in sending and receiving discharge paperwork
settings	Collaboration	Unreasonable handover of workload from secondary to primary care teams
	between primary and	
	secondary care	Poor engagement with primary care services in patient discharge planning
	teams	
	Communication with	Poor information given to patients, relatives or carers on discharge from
	patients, relatives	hospital
	and carers	
	Support for patients,	Patients discharged before arrangements for care are in place at home or in
	relatives and carers	the community.
	in the home	
	environment	
	Medication safety	Unsafe provision or availability of medication
		Unsafe prescribing practices
Groups of	Age	Children
patients		Elderly and Frail
considered at	Medical factors	Cancer patients
greatest risk of		Complex patients on >5 meds
harm		Diabetic patients, including those newly started on insulin
		Drug addicts or those with alcohol dependency
		Heart failure patients
		Immunosuppressed patients
		Learning disabilities and cognitive disorders (including dementia)
		Orthopaedic patients
		Palliative care patients
		Patients on anticoagulants
		Patients requiring services such as physiotherapy following surgery
		Patients who are frequently admitted to hospital
		Patients who've had a recent change to their medication
		Patients with chronic kidney disease
		Patients with poor vision or hearing
		Pregnant women Psychiatric illness
		Stroke patients
	Social factors	Illiterate patients
		Low socio-economic status
		Non-English speakers, ethnic minorities and refugees
		Out of area discharges
		Patients being partly managed by private health services
		Patients who are new to the practice or unsure about the NHS health system
		Patients who live on boundaries of CCG catchment areas
		Patients with no fixed abode
		Patients with poor mobility
		Patients with poor understanding of their health conditions
		Socially isolated patients (including housebound)
		Socially isolated patients (including housebound)

	Vulnerable adults requiring social care support and safeguarding cases
Groups of	Antibiotics
medications	Anticoagulants (rivaroxaban, warfarin, clopidogrel, NOACs, DOACs)
considered to	Anti-epileptic medications
pose the greatest	Antihypertensive medications (ACEi, ARB)
risk to patients	Antipsychotics
	DMARDS
	Eye drops
	Hormone tablets initiated by gender reassignment clinics
	Hypoglycaemics and insulin
	Immunosuppressants
	Injectables
	Melatonin
	Pain medications (including opiates)
	Sedatives (including benzodiazepines)
	Statins
	Steroids (oral and topical)
	Unlicensed medications of various specialties